



## Complaint Notice Against Competent Persons (CPs)

Please use this form to lodge allegations against UMREK Competent Persons (CPs) that have breached their obligations under Procedures and Principles Communique Related to Ethical Rules of the Competent Person or other professional regulations.

<b>Complainant Details</b>		
<i>Name, Surname:</i>		
<i>Are you a member of YERMAM?</i>	Yes	No
<i>YERMAM Membership No:</i>		
<i>Do you have UMREK Certificate of Competence:</i>	Yes	No
<i>UMREK Certificate of Competence No:</i>		
<i>Current postal address:</i>		
<i>Current e-mail:</i>		
<i>Phone number:</i>		
<i>Name &amp; Surname of UMREK CP(s) against whom the Complaint is made:</i>		
<i>What is the nature of the Complaint? :</i>		
This complaint alleges a breach of:		
YERMAM Charter	Specific Charter clause(s):	
YERMAM Code of Ethics	Specific Code of Ethics clause(s):	
The Communique	Specific clause(s) of the Communique:	
UMREK Code	Specific UMREK Code clause(s):	
Other Details:		

### **Complaint Description:**

Please provide detailed information regarding specific allegations, provisions of Procedures and Principles Communique Related to Ethical Rules of the Competent Person, provisions of The Association of Geoscience, Mining and Metallurgy Professionals' (YERMAM) Charter, Code of Ethics, Reporting Codes (including UMREK) or relevant legislation. Supporting evidence or documents shall be provided as attachments to the complaint

**Signature of complainant:**

**Date:**

### ***Notes on Complaint handling***

*This Complaint Notice shall be completed in full and submitted with supporting documentation to UMREK Ethics Committee secretariat, Ankara, Turkey. Complaints may also be lodged by post. The complaints shall be processed in the manner required as prescribed by Procedures and Principles Communique Related to Ethical Rules of the Competent Person. Complaints must be related to UMREK CP's activities with respect to their activities as mineral industry professionals. Any CP can be the subject of a complaint. In cases of serious breaches of ethical or legal obligations beyond minerals industry activities, the right to continue as a UMREK CP may be considered. All deliberations of UMREK Ethics Committee shall remain strictly confidential. The identity of the complainant is not disclosed to the person(s) about whom the complaints has been made or to any parties not involved in the process of determining the validity of the complaint.*

*The identity of the complainant and respondent remains confidential, except in the following cases:*

- *where UMREK Ethics Committee has resolved that notice of a breach be published, in which case the name of the respondent may be made public*
- *in the unlikely event that the matter proceeds to legal proceedings independent of UMREK process, where the identity of the complainant may become known as part of the discovery of documents process.*

*In accordance with the Communique, all complaints matters shall be treated in the strictest confidence. This complaint must not be circulated to or discussed with third parties.*

*In promoting the highest professional ethics of CPs, UMREK will describe the issues raised by complaints received in general terms in future communications to the members and other related partners on the operations of UMREK's complaints handling system. Annual reports detailing the activity of UMREK Ethics Committee will be published in UMREK website. The annual report contains information on the number and broad subject matter of complaints and actions taken.*